

IN THE UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

MARK NEWBY, ET AL.,

Plaintiffs,

v.

ENRON CORPORATION, ET AL.,

Defendants.

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CIVIL ACTION NO: H-01-3624
AND CONSOLIDATED CASES

United States Courts
Southern District of Texas
FILED
MAY 08 2002
Michael N. Milby, Clerk

APPENDIX TO KENNETH L. LAY'S MOTION TO DISMISS
PLAINTIFFS' CONSOLIDATED COMPLAINT

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- Tab 3. Hall & Murphy, "Option Value Does not Equal Option Cost," *World at Work Journal*, Second Quarter 2001.
- Tab 4. Hall & Murphy, "Stock Options for Undiversified Executives," *Journal of Accounting & Economics*, 33 (2002) 3-42.
- Tab 5. Heath, Huddart & Lang, "Psychological Factors and Stock Option Exercise," *Quarterly Journal of Economics* (1999).
- Tab 6. Huddart, "Patterns of Stock Option Exercise in the United States," Chapter 8 in *Executive Compensation and Shareholder Value* (Carpenter & Yermach, eds.) (1999).

The Exhibit(s) May
Be Viewed in the
Office of the Clerk